

# TIBCO LogLogic Compliance Suite – Sarbanes-Oxley Edition

## BENEFITS

### ENFORCES AND PROVIDES EVIDENCE OF IT CONTROLS

Uses system logs and other IT data to track performance, alert to unmet requirements, and formulate reports.

### DRAMATICALLY IMPROVES AUDIT ACCURACY

Provides thorough industry-leading real-time reporting and alerting.

### DELIVERS SUSTAINABLE COMPLIANCE

Helps automate compliance activities mapped to SOX and COBIT requirements.

### PROVIDES FLEXIBILITY FOR FURTHER IMPROVEMENT

Provides customization of more than 300 reports and more than 100 alerts to support your company's policies.

### ACCELERATES TIME TO RISK MITIGATION AND AUDIT RESPONSE

Searches terabytes of data in seconds to provide complete information.

The Sarbanes-Oxley Act (SOX) uses the IT Governance Institutes' IT governance and control framework (COBIT) as its standard. The TIBCO LogLogic® Compliance Suite – Sarbanes-Oxley Edition uses logs to develop automated reports and alerts that help you meet SOX and COBIT requirements specific to log data and accelerate your drive to compliance.

## FROM MACHINE DATA TO INTELLIGENCE

The Compliance Suite's SOX Edition enables best practices and processes to be easily implemented and enforced to support IT governance and service delivery. It uses data collected and stored by TIBCO LogLogic appliances – data including logs, flow data, and flat files – from applications, backup systems, databases, e-mail servers, firewalls, IDS systems, web proxies, and other systems. The data is filtered against specific corporate controls and policies to document adherence to SOX compliance, as well as provide insight into performance, risk, and the use of corporate assets. Hundreds of reports and alerts can be customized on-the-fly to quickly provide needed information to IT and compliance staff.

## RAPID ROI AND OTHER BENEFITS

With the COBIT Edition, you can reduce time spent on developing compliance reports and managing audits from weeks to minutes. With out-of-the-box real-time alerting on key processes such as user authentication, access control, and information protection, companies can achieve sustainable compliance with a fraction of the resources, and with less risk than alternate solutions. Typical benefits include:

### COST SAVINGS

- Return on investment in 1-3 months based on reduced infrastructure costs and staff time and reduced or eliminated consulting expense.
- Reduced data storage and management costs.

### TIME SAVINGS

- Reduced time per report of up to two weeks.
- Easier attestation, with report fields customized in seconds and immediate generation of results.

### RISK MITIGATION

- Dramatic improvement in mitigating risk of noncompliance.
- Sustainable compliance by delivering real-time, automated alerting on policies and controls.

## ATTRIBUTES & CAPABILITIES

Reports and alerts cover six important areas of IT risk management corresponding to COBIT controls:

- **Access:** Identity and access monitoring
- **Activity:** User activity monitoring
- **Change:** Change control monitoring
- **Security:** Security monitoring
- **Infrastructure:** IT infrastructure monitoring
- **Continuity:** Business continuity management

## SOX AND COBIT EXAMPLES

CONTROL OBJECTIVES	SAMPLE REPORTS AND ALERTS
P07.8 Job Change and Termination	<ul style="list-style-type: none"> <li>• Accounts Deleted/Added on Windows Servers</li> <li>• Accounts Activities on Servers</li> <li>• Permissions Modified on Windows Servers</li> <li>• Successful Logins</li> </ul>
AI6.1 change Standards and Procedures	<ul style="list-style-type: none"> <li>• Windows Servers Restart</li> <li>• System Restarted</li> <li>• Database Configuration Changes</li> <li>• Cisco PIX Failover Performed</li> </ul>
DS5.3 Identity Management DS5.4 User Account Management	<ul style="list-style-type: none"> <li>• Accounts Activities on UNIX Servers</li> <li>• Periodic Review of User Access Logs</li> <li>• Failed Logins</li> <li>• Password Changes on Windows Servers</li> </ul>
DS5.10 Network Security	<ul style="list-style-type: none"> <li>• Application Under Attack</li> <li>• Hosts Denied Access by Port (Firewall)</li> <li>• Firewall Traffic Considered Risky</li> </ul>
DS11.5 Backup and Restoration	<ul style="list-style-type: none"> <li>• Backup Errors on NetApp Filers</li> </ul>



**Global Headquarters**  
**3307 Hillview Avenue**  
**Palo Alto, CA 94304**  
**+1 650-846-1000 TEL**  
**+1 800-420-8450**  
**+1 650-846-1005 FAX**  
**www.tibco.com**

**TIBCO Software Inc.** (NASDAQ: TIBX) is a global leader in infrastructure and business intelligence software. Whether it's optimizing inventory, cross-selling products, or averting crisis before it happens, TIBCO uniquely delivers the *Two-Second Advantage*®—the ability to capture the right information at the right time and act on it preemptively for a competitive advantage. With a broad mix of innovative products and services, customers around the world trust TIBCO as their strategic technology partner. Learn more about TIBCO at [www.tibco.com](http://www.tibco.com).  
©2012-2014, TIBCO Software Inc. All rights reserved. TIBCO, the TIBCO logo, TIBCO LogLogic, and TIBCO LogLogic Compliance Suite – SOX Edition are trademarks or registered trademarks of TIBCO Software Inc. in the United States and/or other countries. All other product and company names and marks in this document are the property of their respective owners and mentioned for identification purposes only.

11/14/14